

Levels of Abstraction in Language and Logic

Aarne Ranta
aarne@chalmers.se

Philosophy and Foundations of Mathematics:
Epistemological and Ontological Aspects

Uppsala, May 5-8, 2009

To Per Martin-Löf

Background

Type theory and linguistics

Sundholm 1986; AR 1990, 1994,...

Type theory as model for language

- formal and informal language
- conceptual, mathematical, and computational model

How has this improved our understanding? our processing capability?

Abstraction

In psychology: formation of general concepts, suppression of details

In mathematics: more and more general definitions and theorems (calculus to topology to category theory)

In linguistics: levels of phonetics, phonology, morphology, syntax, semantics, pragmatics

Prototypical case: phonetics vs. phonology

Invented by Jan Baudouin de Courtenay (1879)

Cornerstone of structuralistic linguistics of the Prague school (Jakobson, Trubetzkoy, 1930's)

Concrete elements: **phones** (sounds); [p], [p'], [b]

Abstract elements: **phonemes** (elements of distinctive sound system of a language): /p/, /b/

Opposition, complementary distribution, free variation

Opposition: [p], [b] represent different phonemes /p/, /b/

- /pin/ [pin] vs. /bin/ [bin]

Complementary distribution: [p], [p'] represent the same phoneme /p/ in the beginning of a syllable

- /pin/ [p'in] vs. /spin/ [spin]

Free variation: [p], [p'] represent the same phoneme /p/ in the end of a syllable

- *sip* can be pronounced [sip] or [sip']

Morphs and morphemes

Morphs (words, suffixes,...) represent **morphemes**.

The plural *s* and *es* in English are in complementary distribution:

- *tree-trees* vs. *bush-bushes*

The passive *s* and *es* in Swedish are in free variation:

- *skriva* - *skrivs|skrives*

Note: "free variation" abstracts away from style, dialects, etc

Mathematical models of abstraction

Lambda abstraction: constant to variable, expression to function

Equivalence relations: identify objects that are equal w.r.t. a relevant property

The latter can model **levels of abstraction**

Levels of abstraction in type theory

Syntactic equality

$$4 * x + 5 = 4 * x + 5$$

Definitional equality

$$4 * x + 5 = x + x + x + x + 5$$

Propositional equality

$$I(\mathbb{N}, x + y, y + x)$$

Equivalence relation

$$I(A, p(x), p(y))$$

Questions of syntactic equality

Do the following syntactic equalities hold?

$$A \ \& \ B \quad = \quad A \ /\backslash \ B$$

$$4*x \ + \ 5 \quad = \quad (4*x) \ + \ 5$$

$$\text{plus}(x,y) \quad = \quad x \ + \ y$$

$$\begin{array}{c} N \\ 2 \end{array} \quad = \quad N \rightarrow 2$$

$$(\text{All } x : N)P(x) \quad = \quad (\text{All } y : N)P(y)$$

Levels of abstraction in syntax

Concrete syntax: string equality

Abstract syntax: tree equality, structural equality (Carnap, Curry, McCarty, Landin)

In abstract syntax, all that matters is

- what parts does the expression have?
- in which way are the parts put together?

Irrelevant in abstract syntax

- How do the parts look like?
- In what order do the parts appear?

Let's try to make this precise!

From logical to grammatical framework

LF, Logical Framework (Martin-Löf; Harper, Honsell, and Plotkin):
abstract syntax rules as **function declarations**,

```
fun plus : N -> N -> N
```

GF, Grammatical Framework (AR): concrete syntax as **linearization rules**,

```
lin plus x y = x "+" y
```

$GF = LF + \text{concrete syntax}$

Context-free grammar

Fuses together abstract and concrete syntax

plus. $N ::= N \text{ "+" } N$

Every rule can be translated to a GF rule pair, abstract + concrete

But GF is more expressive:

- permutation: $F \ x \ y = y \ x$
- suppression: $F \ x \ y = y$
- reduplication: $F \ x = x \ x$

Parsing and linearization

Linearization: from **abstract syntax tree** to string:

`plus (plus x y) z ==> "x + y + z"`

Parsing: from string to abstract syntax trees:

`"x + y + z" ==> plus (plus x y) z ; plus x (plus y z)`

Thus a string can be **ambiguous** between many trees.

Avoiding ambiguity

We could change the linearization rule to

```
lin plus x y = "(" x "+" y ")"
```

But we don't want this: we use parentheses only when necessary:

- left associativity: $(x + y) + z$ can be written $x + y + z$

Precedence as parameter

Linearization of a number expression: a **record** with a string and a precedence number

```
lin plus = infixl 2 "+"
```

```
where
```

```
infixl i f x y = {
```

```
  s = parenth i x ++ f ++ parenth (i+1) y ;
```

```
  p = i
```

```
} ;
```

```
parenth i x = if (x.p < i) then "(" ++ x.s ++ ")" else x.s
```

We start to use explicit **concatenation** operator ++

Syntactic equality

Does the following syntactic equality hold?

$$(x + y) = x + y$$

Answer:

- in concrete syntax (strings): no
- in abstract syntax (trees): yes, in the sense "strings resulting via linearization from the same tree"

Linearization types

Logical Framework declares new types (**categories**)

```
cat N
```

Grammatical Framework defines their **linearization types**

```
lincat N = {s : Str ; p : Ints 6}
```

Thus: not only linearizations, but also their types can be varied.

Multilingual grammar

One abstract syntax

```
cat N ;  
fun plus : N -> N -> N ;
```

Many concrete syntaxes

```
lincat N = {s : Str ; p : Ints 6} ;  
lin plus = infixl 2 "+" ;  
  
lin N = Str ;  
lin plus x y = x ++ y ++ "iadd" ;
```

Translation in multilingual grammar

Parse in one concrete syntax, linearize in another:

```
1 + 2 + 3    ==>  plus (plus 1 2) 3    ==>  iconst_1
                                                iconst_2
                                                iadd
                                                iconst_3
                                                iadd
```

Thus a multilingual grammar can also define a **compiler**.

Multilingual syntactic equality

Does the following syntactic equality hold?

`1 + 2 = iconst_1 iconst_2 iadd`

Answer:

- in concrete syntax (strings): no
- in abstract syntax (trees)
 - no, not in any single language
 - yes, in a multilingual grammar where they are *strings resulting via linearization from the same tree*

Extending to natural language

English

```
lincat N = Str ;  
lin plus x y = "the sum of" ++ x ++ "and" ++ y
```

Finnish: **inflection table** depending on **case**

```
lincat N = Case => Str ;  
lin plus x y = table {  
  c => x ! Gen ++ "ja" ++ y ! Gen ++ summa ! c  
}  
where  
  summa = table {Nom => "summa" ; Gen => "summan"}
```

Examples

2

two

kaksi

1 + 2

the sum of one and two

yhden ja kahden summa

1 + 2 + 3

the sum of the sum of one and two and three

yhden ja kahden summan ja kolmen summa

Abstract syntax in natural language

What is the abstract syntax of *the sum of one and two* ?

In type theory:

```
plus 1 2
```

In "standard linguistic syntax":

```
DetCN the_Det (PrepCN sum_CN of_Prep (ConjNP and_Conj one_NP two_NP))
```

Proper question: what is the abstract syntax of string s in grammar G ?

Linguistic syntax

Categories: noun phrase, common noun, determiner, preposition, conjunction

```
cat NP ; CN ; Det ; Prep ; Conj
```

Rules: determination, prepositional modification, coordination

```
fun
```

```
  DetCN    : Det -> CN -> NP ;
```

```
  PrepCN   : CN -> Prep -> NP -> CN ;
```

```
  ConjNP   : Conj -> NP -> NP -> NP ;
```

the sum of one and two

```
DetCN the_Det (PrepCN sum_CN of_Prep (ConjNP and_Conj one_NP two_NP))
```

Grammar composition

Abstract syntax: "semantic structures"

Concrete syntax: use the "syntactic structures" of linguistic grammar to define linearization

```
lincat N = NP ;
```

```
lin plus x y =
```

```
  DetCN the_Det (PrepCN sum_CN of_Prep (ConjNP and_Conj x y) ;
```

The chain of `lin` rules can be composed at compile time, to produce strings directly from semantic structures.

The GF resource grammar library

Linguistic grammar of 12 languages (20 more forthcoming)

Common syntax: 50 categories, 200 functions

Language-specific morphologies and lexica

Hypothesis: the same abstract structure can be found in different languages. Confirmed for the 12 languages, refuted for none so far.

A substantial task for the 6,000 languages of the world...

Compositionality and reversibility

The hypothesis would be empty, if `lin` could be arbitrary functions.

However, they are constrained by two principles:

1. **Compositionality**: linearization is a homomorphism.

$$(F\ a1\ \dots\ an)^* = F^*\ a1^*\ \dots\ an^*$$

2. **Reversibility**: linearization rules are usable for parsing (in practice: they are finite datastructures - nested tuples like in PMCFG, cf. Seki 1990, Ljunglöf 2004).

Utility of common syntax

Linearization can be made to a **functor**, a **parametrized module**

```
lincat N = NP ;  
lin plus x y =  
    DetCN the_Det (PrepCN sum_CN of_Prep (ConjNP and_Conj x y) ;
```

with the **interface** declaring the syntactic structures and the constant

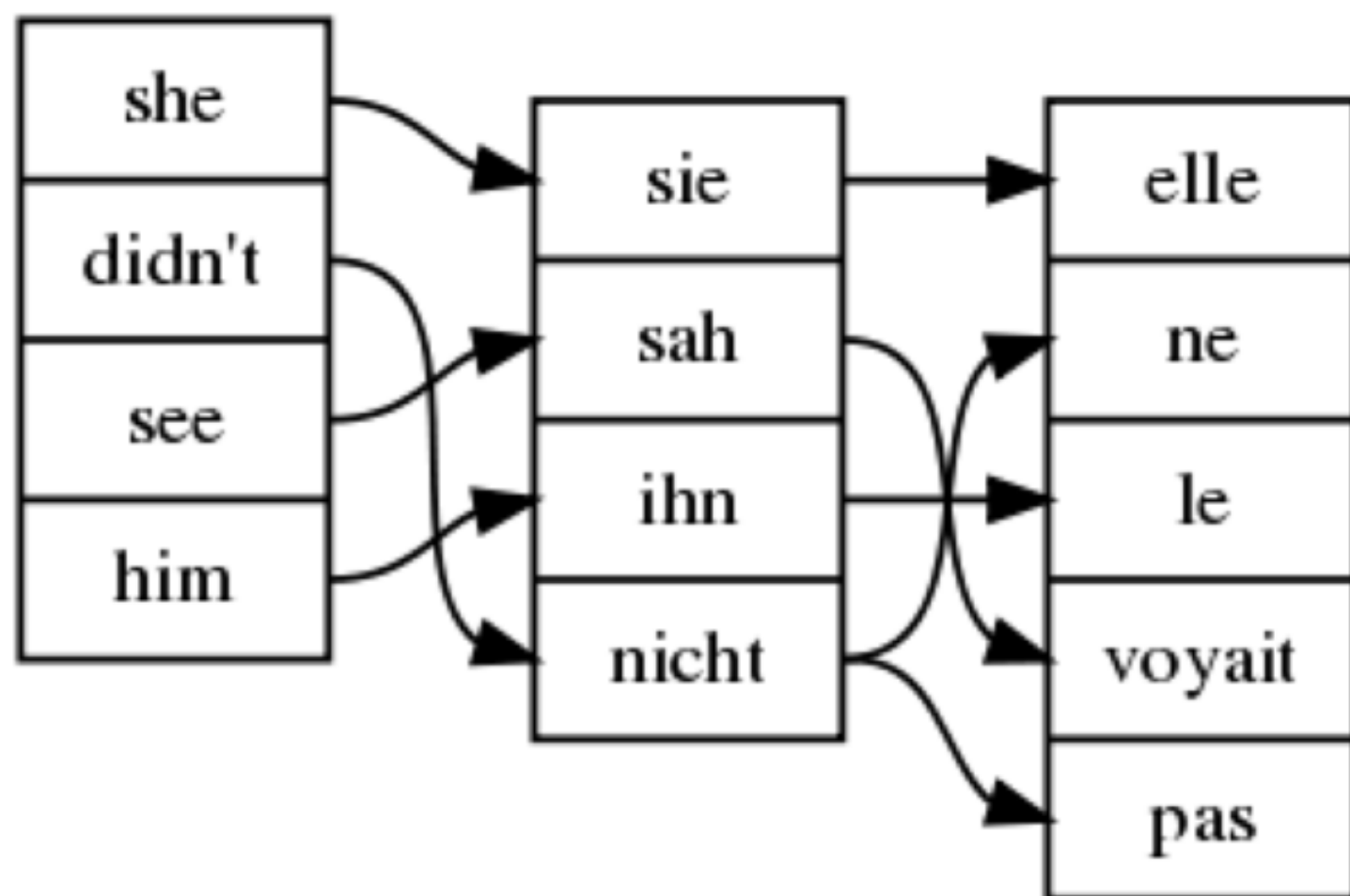
```
sum_CN : CN
```

instantiated in different ways in different languages

```
sum_CN = regCN "sum"      -- English  
sum_CN = regCN "summa"    -- Finnish  
sum_CN = regCN "somme"    -- French
```

Word alignment

Link words with common smallest spanning abstract syntax subtree.



Literal translation

Literal translation: use the same syntactic structures in source and target.

Functors are the closest we can get to literal translation:

- words are of course different
- also inflection, agreement, and word order differ
- but the parts and their combinations are the same

Literal = syntactic-structure-preserving

Non-literal translation

Use the linguistic resource in different ways in different languages

```
fun Like : Person -> Person -> Prop

lin Like x y = PredVP x (ComplV2 like_V2 y)      -- English
lin Like x y = PredVP y (ComplV2 piacere_V2 x)   -- Italian
```

John likes Mary ==> Like John Mary ==> *Maria piace a Giovanni*

This translation is still *compositional*.

Compositional = semantic-structure-preserving

Non-compositional translation

Easy to construct from non-literal translation via subsentential coordination:

John likes and admires Mary ==>

ConjRel Like Admire John Mary ==>

ConjProp (Like John Mary) (Admire John Mary) ==>

Maria piace a Giovanni e Giovanni ammira Maria

We need to **paraphrase** the sentence.

What is presented is a **denotation** (e.g. truth value).

Levels of translation

translation	preserves sameness of	
identical	string	
literal	linguistic structure	
compositional	semantic structure	
paraphrasing	denotation	

Free variation

In what sense is

$$A \ \& \ B \quad = \quad A \ /\ \ B$$

Obviously: linearization to different notations:

$$\text{lin Conj } A \ B \quad = \quad A \ ++ \ "&" \ ++ \ B$$

$$\text{lin Conj } A \ B \quad = \quad A \ ++ \ "/\ " \ ++ \ B$$

But GF also has operator $|$ for **free variation**

$$\text{lin Conj } A \ B \quad = \quad A \ ++ \ ("/\ " \ | \ "&") \ ++ \ B$$

Does this example show a sensible language?

Input vs. output grammars

Free variation is up to a *level of abstraction*.

For instance: abstraction from style

- one author wouldn't use & and /\ in free variation
- it is rarely adequate for **generating output**

But free variation can be useful for **recognizing input**, for instance, in information retrieval.

Input grammars for dialogue systems

Abstract syntax: **requests** such as

```
fun BuyTicket : City -> City -> Request

lin BuyTicket x y =
  (("I want" ++ (("to buy" | []) ++ ("a ticket")) | "to go"))
  |
  (("can you" | [] ) ++ "give me" ++ "a ticket")
  |
  [] ) ++
  "from" ++ x ++ "to" ++ y ++
  ("please" | [])
```

In free variation as requests

I want to buy a ticket from Gothenburg to Uppsala

I want to go from Gothenburg to Uppsala

can you give me a ticket from Gothenburg to Uppsala

a ticket from Gothenburg to Uppsala

from Gothenburg to Uppsala

Complementary distribution

Typical case: inflection tables

```
lin Even = table {  
  AF Masc Sg => "pair" ;  
  AF Masc Pl => "pairs" ;  
  AF Fem Sg => "paire" ;  
  AF Fem Pl => "paires"  
}
```

The French words *pair*, *pairs*, *paire*, *paires* are in complementary distribution.

They express the same abstract syntax in the same concrete syntax, but in different contexts.

Questions of syntactic equality revisited

Do the following syntactic equalities hold?

$$A \ \& \ B \quad = \quad A \ /\backslash \ B$$

$$4*x \ + \ 5 \quad = \quad (4*x) \ + \ 5$$

$$\text{plus}(x,y) \quad = \quad x \ + \ y$$

$$\begin{array}{c} N \\ 2 \end{array} \quad = \quad N \rightarrow 2$$

$$(A11 \ x : N)P(x) \quad = \quad (A11 \ y : N)P(y)$$

Questions of syntactic equality revisited

Do the following syntactic equalities hold?

$$A \ \& \ B \quad = \quad A \ /\backslash \ B \quad \text{YES}$$

$$4*x \ + \ 5 \quad = \quad (4*x) \ + \ 5 \quad \text{NO}$$

$$\text{plus}(x,y) \quad = \quad x \ + \ y \quad \text{YES}$$

$$\begin{array}{c} N \\ 2 \end{array} \quad = \quad N \ -> \ 2 \quad \text{YES}$$

$$(A11 \ x : N)P(x) \quad = \quad (A11 \ y : N)P(y) \quad \text{NO}$$

Free parentheses?

Both $(x + y) + z$ and $x + y + z$ should be OK, and can be obtained,

```
parenth i x = if (x.p < i)
  then "(" ++ x.s ++ ")"
  else x.s | "(" ++ x.s ++ ")"
```

But current GF can't permit *arbitrarily many* parentheses.

Neither can we permit alpha conversion as syntactic equality.

Finite automata

To express the variations in the abstract syntax

MkNP : Prep -> Num -> CN -> NP

for, with, Nom : Prep

one, five : Num

man, woman : CN

picture

Two dimensions of syntactic equality

Equality in given abstract syntax

- as strings
- in complementary distribution
- in free variation

Level of granularity in abstract syntax

- syntactic
- propositional-semantic
- pragmatic